THE UNIVERSITY OF NEW MEXICO

Publishing Federally Funded Research?

A 10-ITEM FEDERAL OPEN ACCESS COMPLIANCE CHECKLIST + DECISION GUIDE FOR UNM PUBLICATIONS

- ◯ **Identify the funder's public access requirements**
  e.g., zero embargo, required repository, timing
- ◯ **Confirm publishing funds are available**
  APCs allowable on the award or alternative non sponsored funds identified
- ◯ **Account for post award publishing**
  articles accepted after the grant ends still require compliance
- ◯ **Confirm the journal's open access pathway**
  gold, diamond, green/zero embargo, hybrid, or publisher agreement
- ◯ **Verify the acceptable article version**
  Author Accepted Manuscript vs. Final Published Article
- ◯ **Notify the journal of federal public access obligations at submission**
  include required funder acknowledgement language
- ◯ **Determine the deposit method upon acceptance**
  author initiated deposit vs. publisher deposit
- ◯ **Ensure deposit timing meets zero embargo requirements**
  deposit coordinated so content is publicly available on the Official Date of Publication
- ◯ **Complete required approvals in the deposit system**
  e.g., NIHMS initial and final approvals, where applicable
- ◯ **Confirm public availability and retain identifiers**
  verify no embargo; record PMC ID or equivalent

Questions to consider when deciding where to publish your federally funded work:

Can the article be publicly available with no embargo?

» Immediate open access **OR**
» Zero embargo Author Accepted Manuscript (AAM) deposit **OR**
» Publisher deposits the final article to the required repository

What will it cost, and how will I pay?

» No APC (diamond OA or green OA with zero embargo AAM) **OR**
» APC required→Covered by grant funds, a UNM/UNM HSC agreement, or non sponsored funds

How will I meet access requirements after acceptance?

» Publisher deposits the final article **OR**
» I deposit the AAM upon acceptance→Public access on the Official Date of Publication

Author Responsibility

Regardless of the deposit method used, the author/PI is ultimately responsible for ensuring compliance with funder public access requirements.

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Questions or feedback?
Email frdo@unm.edu